

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION  
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Defendant.

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of  
Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

HSBC BANK PLC, *et al.*,

Defendants.

Adv. Pro. No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

Adv. Pro. No. 09-01364 (SMB)

**STIPULATION AND ORDER OF DISMISSAL OF DEFENDANTS  
ALPHA PRIME ASSET MANAGEMENT LIMITED, REGULUS ASSET  
MANAGEMENT LIMITED, CARRUBA ASSET MANAGEMENT LIMITED, AND  
URSULA RADEL-LESZCZYNSKI WITH PREJUDICE**

PLEASE TAKE NOTICE that Plaintiff Irving H. Picard (the “Trustee”), as trustee for the liquidation of the business of Bernard L. Madoff Investment Securities LLC, under the Securities Investor Protection Act, 15 U.S.C. § 78aaa–III, and the substantively consolidated estate of Bernard L. Madoff individually, by and through his counsel Baker & Hostetler LLP, and pursuant to Rule 7041 of the Federal Rules of Bankruptcy Procedure (under which Rule 41 of the

Federal Rules of Civil Procedure herein), hereby dismisses all claims against defendants Alpha Prime Asset Management Limited, Regulus Asset Management Limited, Carruba Asset Management Limited, and Ursula Radel-Leszczyński from the above-captioned adversary proceeding with prejudice. Each party shall bear its own costs and fees.

*[The remainder of page intentionally left blank]*

Upon entry of this stipulation and order, the caption of this adversary proceeding is hereby amended to delete Alpha Prime Asset Management Limited, Regulus Asset Management Limited, Carruba Asset Management Limited, and Ursula Radel-Leszczyński. An amended caption is attached hereto as Exhibit A.

Dated: July 30, 2018  
New York, New York

BAKER & HOSTETLER LLP

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LLC and Bernard L. Madoff*

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*Attorneys for Alpha Prime Asset  
Management Limited, Regulus Asset  
Management Limited, Carruba Asset  
Management Limited, and Ursula Radel-  
Leszczyński*

**SO ORDERED**

/s/ STUART M. BERNSTEIN  
HONORABLE STUART M. BERNSTEIN  
UNITED STATES BANKRUPTCY JUDGE

Dated: July 31<sup>st</sup>, 2018  
New York, New York

**Exhibit A: Amended Caption**

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SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION  
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Defendant.

Bankruptcy Case No. 08-01789  
(SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of  
Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

HSBC BANK PLC; HSBC HOLDINGS PLC; HSBC  
SECURITIES SERVICES (LUXEMBOURG) S.A.;  
HSBC INSTITUTIONAL TRUST SERVICES  
(IRELAND) LIMITED; HSBC SECURITIES  
SERVICES (IRELAND) LIMITED; HSBC  
INSTITUTIONAL TRUST SERVICES (BERMUDA)  
LIMITED; HSBC BANK USA, N.A.; HSBC  
SECURITIES SERVICES (BERMUDA) LIMITED;  
HSBC BANK (CAYMAN) LIMITED; HSBC  
PRIVATE BANKING HOLDINGS (SUISSE) S.A.;  
HSBC PRIVATE BANK (SUISSE) S.A.; HSBC  
FUND SERVICES (LUXEMBOURG) S.A.; HSBC  
BANK BERMUDA LIMITED; ALPHA PRIME  
FUND LIMITED; HERALD ASSET MANAGEMENT  
LIMITED; 20:20 MEDICI AG; BA WORLDWIDE  
FUND MANAGEMENT LIMITED; EUROVALEUR,

Adv. Pro. No. 09-01364 (SMB)

INC.; SONJA KOHN; ERWIN KOHN; AND INTER  
ASSET MANAGEMENT, INC.,

Defendants.